IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM (only for new nonprovisional applications under 37 CFR 1.53(b)



ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 124 pages) of:

Inventor(s): Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen

For : A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING AND/OR DESIGNING SPECTRAL SENSITIVITY CURVES FOR AN IMAGING DEVICE

**If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite

infor	mation below and in a preliminary amendment:		
	Continuation [] Divisional [] Continuation-In-Part (CIP) prior application Serial No		
Pri	or application information: Examiner : Art Unit :		
Encl	osed are:		
[X]	7 sheets of informal drawings.		
[]	Signed Combined Declaration and Power of Attorney (pages).		
[]	Copy of signed Combined Declaration and Power of Attorney (pages) from a prior application (1.63(d) (for continuation/divisional).		
[]	Signed statement deleting inventor(s) named in prior application (pages) (1.63(d)(2) and 1.33(b)).		
[]	Incorporation By Reference : The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.		
[]	Assignment (pages) of the invention to		
[]	Assignment Transmittal Letter.		
[]	Certified copy of a foreign priority document.		
[]	Associate power of attorney.		
[X]	Applicant claims small entity status. (See 37 CFR 1.27.)		

R476866.1

1

[]	Preliminary Amendment (pages).	
[]	Information Disclosure Statement, form PTO-1449 (pages) and references.	
[X]	<u>UNSIGNED</u> Combined Declaration and Power of Attorney (3 pages).	
[]	Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.	
[X]	A self-addressed, prepaid postcard acknowledging receipt.	
[]	Other:	

The Filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	xxxxxxx	xxxxxxx
TOTAL CLAIMS	42 - 20 =	22
INDEP CLAIMS	6 - 3 =	3

[] MULTIPLE DEPENDENT CLAIM PRESENTED

SMALL ENTITY

SMACE ENTITY		
RATE	FEE	
XXXX	\$355	
x 9 =	\$198	
x 40=	\$120	
x135 =	\$ 0	
TOTAL	\$673	

LARGE ENTITY

<u>OR</u> <u>OR</u>

<u>OR</u>

<u>OR</u>

<u>OR</u>

<u>OR</u>

	RATE	FEE
Γ	XXXX	\$710
Γ	x 18 =	\$
Γ	X80 =	\$
	x270 = .	\$
	TOTAL	\$

- [] Please charge my Deposit Account No.____ in the amount of \$____. A duplicate copy of this sheet is enclosed.
- [X] A check in the amount of \$673.00 to cover the filing fee is enclosed.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.
- [X] Address all future communications to:

Gunnar G. Leinberg, Esq. NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603

Date: March 22, 2001

Gunnar G. Leinberg Registration No. 35,584

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^{*}If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2



EXPRESS MAIL CERTIFICATE

DOCKET NO.

1819/100131

APPLICANTS

Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen

TITLE

A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING

AND/OR DESIGNING SPECTRAL SENSITIVITY CURVES

FOR AN IMAGING DEVICE

Certificate is attached to the Utility Patent Application Transmittal Letter (2 pages) in duplicate of the above-named application.

"EXPRESS MAIL" NUMBER:

EL640135458US

DATE OF DEPOSIT:

March 22, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

Georgia Evans

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

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